



# APPLICATION FOR MINOR STOCK / BUSINESS REORGANIZATION

State Form 50078 (9-01)

## INSTRUCTIONS:

- Complete and sign the following form.
- Attach the requested information.
- Attach a list of all permit numbers for which this applies.

*This application may be used only when a minor stock transfer has occurred or the permit holder has changed their business organization. In order to qualify, the controlling interest of the corporation, LLC, LP or LLP may not change. A minor stock or business reorganization transfer does not require a public hearing.*

*A controlling interest is defined as: (1) for corporations having 100 or more shareholders, the beneficial ownership, or the direct or indirect power to direct the voting of no less than 10% of the voting shares of a corporation, or (2) for any corporation having fewer than 100 shareholders, the beneficial ownership, or the direct or indirect power to direct the voting of no less than 51% of the voting shares of the corporations outstanding voting shares.*

STEP 1 GENERAL INFORMATION	
Permit Number	
Name of permit owner	
Permit address (number and street, city, state, ZIP code) -----	
Alternative mailing address if different from permit address (number and street, city, state, ZIP code) -----	
	Telephone number 1
Name of contact	Telephone number 1

STEP 2 CHANGE IN BUSINESS ORGANIZATION					
<b>2a. Was doing business as:</b>					
Check one: <input type="checkbox"/> Corporation <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Partnership <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Club <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Proprietorship / Sole ownership				<b>CORPORATIONS ONLY</b>	
Provide the information for the individuals associated with your permit as follows: <b>CLUB</b> - Highest ranking officer and the financial secretary or treasurer <b>CORPORATION</b> - President, secretary, and all stockholders ( <i>list total shares authorized / issued and individual shares held and percent of shares issued</i> ) <b>LIMITED LIABILITY COMPANY</b> - All members <b>LIMITED PARTNERSHIP / PARTNERSHIP / LIMITED LIABILITY PARTNERSHIP</b> - All partners <b>PROPRIETORSHIP</b> - Owner  * Social Security numbers are required by federal child support law.				Total shares authorized	
				Total shares issued	
<b>TITLE</b>	<b>NAME AND HOME ADDRESS</b>	<b>SOC. SEC. NO. &amp; DATE OF BIRTH</b>		<b>SHARES HELD</b>	<b>%</b>
		SSN			
		DOB			
		SSN			
		DOB			
		SSN			
		DOB			
		SSN			
		DOB			

Enclose an additional sheet if other individuals have an interest in this permit

<b>2b. Was doing business as:</b>				
Check one: <input type="checkbox"/> Corporation <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Partnership <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Club <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Proprietorship / Sole ownership				<b>CORPORATIONS ONLY</b>
Provide the information for the individuals associated with your permit as follows:  <b>CLUB</b> - Highest ranking officer and the financial secretary or treasurer  <b>CORPORATION</b> - President, secretary, and all stockholders <i>(list total shares authorized / issued and individual shares held and percent of shares issued)</i>  <b>LIMITED LIABILITY COMPANY</b> - All members  <b>LIMITED PARTNERSHIP / PARTNERSHIP / LIMITED LIABILITY PARTNERSHIP</b> - All partners  <b>PROPRIETORSHIP</b> - Owner   * Social Security numbers are required by federal child support law.				Total shares authorized
				Total shares issued
<b>TITLE</b>	<b>NAME AND HOME ADDRESS</b>	<b>SOC. SEC. NO. &amp; DATE OF BIRTH</b>		<b>SHARES HELD</b>
		SSN		
		DOB		
		SSN		
		DOB		
		SSN		
		DOB		
		SSN		
		DOB		

STEP 3 QUALIFICATIONS OF NEW OWNERS
<p>This section must be completed by any privately-held corporation, partnership or sole-proprietorship. Any corporation that is approved for public trading by the U.S. Securities and Exchange Commission does not need to complete this section. If you claim exemption, please initial below and provide your stock exchange abbreviation.</p> <p>_____ (initial) _____ (SEC abbreviation)</p> <p>Qualifications under Indiana Law for all permit holders follow. The President or Secretary of the corporation, or any partner or sole-proprietor must investigate the qualifications for all members of the corporation or partnership and attest under penalties of perjury on behalf of the corporation, partnership or as a sole-proprietor that all parties with an interest in the permit meet the qualifications.</p> <ol style="list-style-type: none"> <li>1. You must be a citizen of the United States.</li> <li>2. You must be a person of good moral character and good repute in the community in which you reside.</li> <li>3. You must be 21 years of age or older.</li> <li>4. You must own the premises or have a bona fide lease for the full term in which the permit is to be used.</li> <li>5. You must not be a law enforcement officer.</li> <li>6. You must not lease or be indebted in any way by a officer or agent who hold a brewer or beer wholesaler license.</li> <li>7. You must not have had an alcohol permit in Indiana be revoked or denied within the last 365 days.</li> <li>8. 60% of stock is held by Indiana residents of five years, or an affidavit or intent to do \$100,000 in food sales <i>State Form 42885</i>).</li> </ol> <p>I, (name) _____, as (position) _____ of (organization) _____</p> <p>do swear or affirm under the penalties of perjury that I have conducted a bona fide investigation into the qualifications of all shareholders or partners, or I as sole-proprietor, and that all new parties with an interest in this permit meet the qualifications. I understand that any parties that do not meet these qualifications may jeopardize the permit.</p>

STEP 4 SIGNATURE AND VERIFICATION
<input type="checkbox"/> Attach minutes of meeting at which the ownership interest change was authorized or consent of all partners and / or corporate members.
<input type="checkbox"/> Attach new Secretary of State Incorporation papers.
I swear or affirm under penalties of perjury that the information in this application is true and accurate.
<div style="display: flex; justify-content: space-between;"> <div style="width: 70%;">           Signature of permit owner         </div> <div style="width: 25%;">           Date signed (month, day, year)         </div> </div>